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Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/826,371 **TRANSMITTAL** Filing Date Apr 19, 2004 **FORM** BROWN, David R. First Named Inventor (to be used for all correspondence after initial filing) Art Unit 2872 Examiner Name AMARI, A. V. MEMS-0131-D1 Total Number of Pages in This Submission Attorney Docket Number ENCLOSURES (check all that apply) After Allowance communication to Group Fee Transmittal Form Drawing(s) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition Preliminary Amendment / Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Change of Correspondence Address Affidavits/declaration(s) Status Letter Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request identify below): Copy of OFR 28 June 2004 Request for Refund Express Abandonment Request Information Disclosure Statement CD, Number of CD(s) Remarks Certified Copy of Priority Correction of typographical error and update of parent information. Dacument(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Customer Number 40575 Individual name Mark E. Olds, Reg. No. 46,507 Signature Date July 1, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.P. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Mark E. Olds Type or printed name Date July 1, 2004 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Tredemark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

In you need assistance in completing the form, cell 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): David R. BROWN

Application No.: 10/826,371

Filed: 19 Apr 2004

Title: OFF-AXIS DIFFRACTIVE BEAM SHAPERS AND SPLITTERS FOR REDUCING SENSITIVITY TO

Attorney Docket No.: MEMS-0131-D1

MANUFACTURING TOLERANCES

Art Unit:

2872

Examiner:

AMARI, A. V.

REQUEST FOR CORRECTION

Office of Initial Patent Examination's Customer Service Center Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Petitioner hereby requests a correction in the Filing Receipt of the above-referenced patent application. Enclosed is a copy of the Filing Receipt with the changes noted thereon.

Additionally, enclosed is a preliminary amendment correcting a typographical error and updating the status of the parent application.

Respectfully submitted,

7/1/04 Date

Mark E. Olds Reg. No. 46,507

Attorney for Applicant

and 5. Ofe

Mark E. Olds KCO Law, PLLC PO Box 1817 Alexandria, VA 22313 1.703.740.8322

Page 1 of 2



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMESSIONER FOR PATENTS PO. Dos 1450 Absorbit, Viginia 2011-1450

	APPL NO.	FILING OR 371 (c) DATE	ARTUNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS	I
•	10/826,371	04/19/2004	2872	385	MEMS-0131-D1	9	11	2	3

CONFIRMATION NO. 9737

40575 KCO LAW P.L.L.C. P. O. 80X 220472 CHANTILLY, VA 20153-0472

Date Mailed: 06/28/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-748-9195. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David Renick Brown, Meridianville, AL:

Domestic Priority data as claimed by applicant 09/594, 771 06/16/2000

This application is a DIV of 09/574,711 05/46/2000 PAT 6,428,408 6,731, 432

(*) Data provided by applicant is not consistent with PTO records.

Foreign Applications

if Required, Foreign Filing License Granted: 06/25/2004

Projected Publication Date: 10/07/2004

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

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Title

Off-axis diffractive beam shapers and splitters for reducing sensitivity to manufacturing tolerances

Preliminary Class